

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David A. Wright et al.

Art Unit : 1636

Serial No.: 10/799,870

Examiner: David Guzo

Filed

: March 12, 2004

Title

: PLANT RETROELEMENTS AND METHODS RELATED THERETO

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached form PTO-1449 are enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 10tember 5, 2004

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orm PTO-1449

Information Disclosure Statement

by Applicant (Use several sheets if necessary)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 08411-032002

Application No. 10/799,870

Applicant

David A. Wright et al.

Filing Date

Group Art Unit

(37 CFR §1.98(b))

March 12, 2004 1636

	U.S. Patent Documents						
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA .	4,683,195	07/28/87	Mullis et al.			
	AB	4,873,191	10/10/89	Wagner et al.			
	AC	5,070,020	12/03/91	Ingolia et al.			
	AD	5,204,253	04/20/93	Sanford et al.			
	AE	5,252,726	10/12/93	Wöldike			
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	AG	5,866,793	02/02/99	Baga et al.			
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-	AJ	6,054,635	04/25/00	Bestwick et al.			
	AK	6,184,443	02/06/01	Pedersen et al.			
	AL	6,331,662	12/18/01	Wright et al.			

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AM							

	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig. ID	Document			
	AN	GenBank Accession No. AB005247 dated 02/14/04			
	AO	GenBank Accession No. AB005248 dated 02/14/04			
	AP	GenBank Accession No. AF096096 dated 01/25/99			
	AQ	GenBank Accession No. AF129516 dated 04/06/99			
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	AT	GenBank Accession No. AL161566 dated 03/16/00			
	AU	GenBank Accession No. B62585 dated 11/20/97			
-	AV	GenBank Accession No. D50643 dated 03/23/02			

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Initial	ID	Document
	AW	GenBank Accession No. J02798 dated 10/11/01
	AX	GenBank Accession No. J05212 dated 06/01/95
	AY	GenBank Accession No. K00821 dated 04/27/93
- "	AZ	GenBank Accession No. L05934 dated 10/22/93
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	ACC	GenBank Accession No. S44893 dated 05/08/93
	ADD	GenBank Accession No. U09119 dated 07/24/01
	AEE	GenBank Accession No. U39944 dated 02/04/03
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	ALL	Altschul et al., "Basic Local Alignment Search Tool," J. Mol. Biol., 1990, 215:403-410
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	ANN	Bhattacharyya et al., "A <i>copia</i> -like retrotransposon Tgmr closely linked to the <i>Rps1-k</i> allele that confers race-specific resistance of soybean to <i>Phytophthora sojae</i> ," <u>Plant Mol. Biol.</u> , 1997, 34:255-264
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	APP	Bossinger and Smyth, "Initiation patterns of flower and floral organ development in <i>Arabidopsis thaliana</i> ," Development, 1996, 122:1093-1102
	AQQ	Boyko et al., "A high-density cytogenetic map of the Aegilops tauschii genome incorporating retrotransposons and defense-related genes: insights into cereal chromosome structure and function," Plant Mol. Biol., 2002, 48:767-790
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	ASS	Brunak et al., "Prediction of Human mRNA Donor and Acceptor Sites from the DNA Sequence," J. Mol. Biol., 1991, 220:49-65
	ATT	Busk et al., "Regulatory elements in vivo in the promoter of the abscisic acid responsive gene rab17 from maize," Plant J., 1997, 11:1285-1295

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Examiner Initial	Desig.	
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	AWW	Chapman et al., "Initiator methionine tRNA is essential for Ty1 transposition in yeast," Proc. Natl. Acad. Sci. USA, 1992, 89:3236-3240
	AXX	Chavanne et al., "Structure and evolution of <i>Cyclops</i> : a novel giant retrotransposition of the <i>Ty3/Gypsy</i> family highly amplified in pea and other legume species," <u>Plant Mol. Biol.</u> , 1998, 37:363-375
	AYY	Chen et al., "Construction of a Soybean Genomic & Root cDNA Library from <i>Phytophthora</i> Resistance Line L85-3044," Soybean Genetics Newsletter, 1998, 25:132-135
	AZZ	Choi et al., "DEMETER, a DNA Glycosylase Domain Protein, Is Required for Endosperm Gene Imprinting and Seed Viability in <i>Arabidopsis</i> , Cell, 2002, 110:33-42
	AAAA	Choi et al., "Tissue-specific and developmental regulation of a gene encoding a low molecular weight sulfur-rich protein in soybean seeds," Mol. Gen. Genet., 1995, 246:266-268
	ABBB	Christou et al., "Stable transformation of soybean by electroporation and root formation from transformed callus," Proc. Natl. Acad. Sci. USA, 1987, 84:3962-3966
	ACCC	Church and Gilbert, "Genomic sequencing," Proc. Natl. Acad. Sci. USA, 1984, 81:1991-1995
	ADDD	Concelção and Krebbers, "A cotyledon regulatory region is responsible for the different spatial expression patterns of <i>Arabidopsis</i> 2S albumin genes," <u>Plant J.</u> , 1994, 5:493-505
	AEEE	Daboussi, "Fungal transposable elements and genome evolution," Genetica, 1997, 100:253-260
	AFFF	Dasgupta et al., "Cloning and sequencing of 5' flanking sequence from the gene encoding 2S storage protein, from two <i>Brassica</i> species," Gene, 1993, 133:301-302
	AGGG	de Castro et al., "Spatial and Temporal Gene Expression Patterns Occur during Corm Development," Plant Cell, 1992, 4:1549-1559
	АННН	Devereux et al., "A comprehensive set of sequence analysis programs for the VAX," <u>Nucl. Acids.</u> <u>Res.</u> , 1984, 12:387-395
	AIII	Di Laurenzio et al., "The SCARECROW Gene Regulates an Asymmetric Cell Division that is Essential for Generating the Radial Organization of the Arabidopsis Root," Cell, 1996, 86:423-433
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	AMMM	Flavell et al., "Retrotransposon-based insertion polymorphisms (RBIP) for high throughput marker analysis," Plant J., 1998, 16(5):643-650
	ANNN	Grandbastien, "Retroelements in higher plants, TIG, 1992, 8(3):103-108
	A000	Granger et al., "Isolation of an Arabidopsis homologue of the maize homeobox Knotted-1 gene, Plant Mol. Biol., 1996, 31:373-378

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	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
	APPP	Green et al., "Binding site requirements for pea nuclear protein factor GT-1 correlate with sequences required for light-dependent transcriptional activation of the <i>rbcS-3A</i> gene," <u>EMBO J.</u> , 1988, 7:4035-4044
	AQQQ	Guerrero et al., "Promoter sequences from a maize pollen-specific gene direct tissue-specific transcription in tobacco," Mol. Gen. Genet., 1990, 224:161-168
	ARRR	Gustafson-Brown et al., "Regulation of the Arabidopsis Floral Homeotic Gene APETALA1," Cell, 1994, 76:131-143
	ASSS	Hake et al., "Homeobox genes in the functioning of plant meristems," Phil. Trans. R. Soc. Lond. B., 1995, 350:45-51
	ATTT	Hansen et al., "Wound-inducible and organ-specific expression of ORF13 from Agrobacterium rhizogenes 8196 T-DNA in transgenic tobacco plants," Mol. Gen. Genet., 1997, 254:337-343
	AUUU	Hebsgaard et al., "Splice site prediction in <i>Arabidopsis thaliana</i> pre-mRNA by combining local and global sequence information," Nucl. Acids Res., 1996, 24:3439-3452
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	AWWW	248:471-480
	AXXX	Jofuku et al., "Control of Arabidopsis Flower and Seed Development by the Homeotic Gene APETALA2," Plant Cell, 1994, 6:1211-1225
	AYYY	Jordano et al., "A Sunflower Helianthinin Gene Upstream Sequence Ensemble Contains an Enhancer and Sites of Nuclear Protein Interaction," <u>Plant Cell</u> , 1989, 1:855-866
	AZZZ	Josefsson et al., "Structure of a Gene Encoding the 1.7 S Storage Protein, Napin, from <i>Brassica napus</i> , <u>J. Biol. Chem.</u> , 1987, 262:12196-12201
	AAAAA	Homeobox Genes into Two Classes, Plant Cell, 1994, 6:1877-1887
	ABBBB	Kim et al., "Nuclear protein factors binding to a class I patatin promoter region are tuber-specific and sucrose-inducible," Plant Mol. Biol., 1994, 26:603-615
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	ADDDD	Kulikauskas and McCormick, "Identification of the tobacco and <i>Arabidopsis</i> homologues of the pollen-expressed LAT59 gene of tomato," <u>Plant Mol. Biol.</u> , 1997, 34:809-814
	AEEEE	Kumagai et al., "Cytoplasmic inhibition of carotenoid biosynthesis with virus-derived RNA," <u>Proc.</u> Natl. Acad. Sci. USA, 1995, 92:1679-1683
	AFFFF	Kumar and Bennetzen, "Plant Retrotransposons," Annu. Rev. Genet., 1999, 33:479-532
	AGGGG	Lee and Huang, "Genes encoding oleosins in maize kernel of inbreds Mo17 and B73," <u>Plant Mol. Biol.</u> , 1994, 26:1981-1987
	АНННН	Li et al., "A novel myb-related gene from Arabidopsis thaliana," FEBS Lett., 1996, 379:117-121
	AIIII	Lincoln et al., "A knotted1-like Homeobox Gene in Arabidopsis Is Expressed in the Vegetative Meristem and Dramatically Alters Leaf Morphology When Overexpressed in Transgenic Plants," Plant Cell, 1994, 6:1859-1876
•	AJJJJ	Lo, "Transformation by Iontophoretic Microinjection of DNA: Multiple Integrations Without Tandem Insertions," Mol. Cell. Biol., 1983, 3:1803-1814

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Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office			Application No. 10/799,870
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	APPPP	Marquet et al., "tRNAs as primer of reverse transcriptases," Biochimie, 1995, 77:113-124			
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	ARRRR	Matsuoka et al., "The promoters of two carboxylases in a C ₄ plant (maize) direct cell-specific, light-regulated expression in a C ₃ plant (rice)," Plant J., 1994, 6:311-319			
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	AUUUU	1964, 136:207-264			
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	AXXXX	Pearce et al., "Pea Tyl-copia group retrotransposons: transpositional activity and use as markers to study genetic diversity in <i>Pisum</i> ," Mol. Gen. Genet., 2000, 263:898-907			
	AYYYY	Pearce et al., "Rapid isolation of plant Ty1-copia group retrotransposon LTR sequences for molecular marker studies," Plant J., 1999, 19:711-717			
	AZZZZ	Peleman et al., "Transient occurrence of extrachromosomal DNA of an Arabidopsis thaliana transposon-like element, Tatl," Proc. Natl. Acad. Sci. USA, 1991, 88:3618-3622			
	AAAAA	Pélissier et al., "Athlia, a new retroelement from Arabidopsis thaliana," Plant Mol. Biol., 1995, 29:441-452			
	ABBBBB	Peterson-Burch et al., "Retroviruses in plants?" Trends in Genet., 2000, 16:151-152			
	ACCCCC	class of multiple-copy nuclear DNA, <u>Molecular Ecology</u> , 1993, 4:203-209			
	ADDDDD	Ray, "Arabidopsis floral homeotic gene (BEL1) controls ovule development through negative regulation of AGAMOUS gene (AG)," Proc. Natl. Acad. Sci. USA, 1994, 91:5761-5765			
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Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant David A. Wright et al.	
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		ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	Document
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	AJJJJJ	Sheridan et al., "The <i>mac1</i> Gene: Controlling the Commitment to the Meiotic Pathway in Maize," Genetics, 1996, 142:1009-1020
	AKKKKK	Photoregulation of Marze cab-mil Expression, <u>Plant Physiol.</u> , 1997, 113.477-483
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	A00000	Thompson et al., "CLUSTAL W: improving the sensitivity of progressive multiple sequence alignment through sequence weighting, position-specific gap penalties and weight matrix choice," Nucl. Acids. Res., 1994, 22:4673-4680
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	AAAAA	Vicient et al., "Envelope-Class Retrovirus-Like Elements Are Widespread, Transcribed and Spliced, and Insertionally Polymorphic in Plants," Genome Res., 2001, 11:2041-2049
	ABBBBBI	Von Heijne, "A new method for predicting signal sequence cleavage sites," Nucl. Acids. Res., 1986, 14:4683-4690
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-	ADDDDD	Voytas, "Retroelements in Genome Organization," Science, 1996, 274:737-738
	AEEEEE	cell nuclei, Nature, 1998, 394:369-374
	AFFFFF	Wakeley et al,. "A maize pectin methylesterase-like gene, ZmC5, specifically expressed in pollen," Plant Mol. Biol., 1998, 37:187-192
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	AIIIII	Wilmut et al., "Viable offspring derived from fetal and adult mammalian cells," Nature, 1997, 385:810-813
	AJJJJJJ	Wright and Voytas, "Athila4 of Arabidopsis and Calypso of Soybean Define a Lineage of Endogenous Plant Retroviruses," Genome Research, 2001, 12:122-131
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	ALLLLLI	Yamamoto et al., "Characterization of cis-Acting Sequences Regulating Root-Specific Gene Expression in Tobacco," Plant Cell, 1991, 3:371-382
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	400000	Embryogenesis and during Postgerminative Growth, Plant Physiol., 1990, 110.1009-1079
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